Substitute for form 1449A/PTO Complete if Known Application Number 10/776,697 INFORMATION DISCLOSURE February 11, 2004 STATEMENT BY APPLICANT Filing Date First Name Inventor Peter W. Dunten (Use several sheets if necessary) Group Art Unit 1624 **Examiner Name** Attorney Docket Number 21438 US2 of | 1 **U.S. PATENT DOCUMENTS** U.S. Patent Document Name of Patentee or Applicant of Date of Publication of Cite Examiner Kind Code² Initials' Cited Document Cited document No.1 Number (if known) MM-DD-YYYY FOREIGN PATENT DOCUMENTS Date of Publication of Foreign Patent Document Name of Patentee or Cited Document Examiner Cite Office³ Number Kind Code³ Applicant of Cited Document MM-DD-YYYY Initials' No.' (if known) B1 WO 01/77110 **NOVARTIS-**10-18-2001 **ERFINDUNGEN** VERWALTUNGSGE **SELLSCFHAFT** M.B.H. 12-24-2003 WO 03/106459 F.Hoffmann-La Roche B₂ AG Examiner Date Considered

Signature | W | Superior | 10/00 |
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEF 809. Draw line through citation if not in conformance and not considered. Include copy of this

form with next communication to applicant.

'Unique citation designation number. 'See attached Kinds of U.S. Patent Documents.' Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 'For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 'Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 'Applicant is to place a check mark here if English language Translation or abstract is attached.

Substitute for form 1449A/PTO					Complete if Known				
INFORMATION DISCLOSURE					A	pplication Number			
STATEMENT BY APPLICANT						ling Date Galn OH			
						rst Name Inventor	Peter W. Dunten et al.		
(Use several sheets if necessary)						roup Art Unit	Total W. Bullon et al.		
						kaminer Name			
Short 1 of 2					ttorney Docket Number	21438 US2			
Sheet	Sheet 1 of 2						1 21436 USZ		
			J.S. Patent Docum		EN	T DOCUMENTS		 	
Examiner	Cite No.'		nent	╝	Name of Patentee or Appl	licant of	Date of Publication of Cited document		
Initials'		Number		Kind Code	"	Cited Document			
				(if known)				MM-DD-YYYY	
				Ī	\top				
				 	十			/	
	 		$\overline{}$	 	\dashv	·			
			$\overline{}$	 	\dashv		/		
	ļ			<u> </u>	_	/			
			3					. (
					1		Λ	1 note	
				1	十	\ /	/ V (
<u> </u>	 				+		+	 	
				<u> </u>	-	$\overline{}$		-ha	
					_		- / \$/	1,00	
				<u> </u>	4		<i>U</i> .	1	
								1 00	
				1/	寸			100	
	1		FO	REIGNE	PAT	ENT DOCUMENTS		I	
	T	Foreig	gn Patent Dogum			ZIVI DO COMIZIVIO	Dat	e of Publication of	T
Examiner	Cite					Name of Patentee or		Cited Document	
Initials'	No.'	Office	Number 1	Kind Cod	de'	Applicant of Cited Docum	ient N	/M-DD-YYYY	
				1 (
	1		/				1		
		/						\	-
		_						\	
		<u> </u>					_		ļ
	1/								
	/							1	
 	+		<u> </u>						
	+								
									
			<i>Y</i>						<u> </u>
		•	1.07]				
Examiner		Date	Considered	COM	_	12 Berl 1/12	0/8/0		
Signature		ı /l		7/ //	α	La Ment 1112	שוטוצ		

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

*Unique citation designation number. *See attached Kinds of U.S. Patent Documents. *Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). *For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. *Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. *Applicant is to place a check mark here if English language Translation or abstract is attached.

		Substitute for form 1449A/PTO	Complete if Known		
I	NFOR	MATION DISCLOSURE	Application Number Filing Date		
5	STATE	MENT BY APPLICANT			
		-4	First Name Inventor	Peter W. Dunten et al.	
	(Use	e several sheets if necessary)	Group Art Unit		
			Examiner Name		
Sheet	2	Of 2	Attorney Docket Number	21438 US2	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials'	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium catalog, etc.), date, page(s), volume-	T²
	1.0.	issue number(s), publisher, city and/or country where published	
Am	C1	Müller, C. E., Tetrahedron Letters, 32, pp. 6539-6540 (1991)	
Mm	C2	Müller et al., J. Med. Chem., 36, pp. 3341-3349 (1993)	
M	C3	Papesch et al., J. Org. Chem., 16, pp. 1879-1890 (1951)	
MS	C4	Müller et al., Synthesis, pp. 1295-1299 (1995)	
M	C5	Jacobson et al., J. Med. Chem., 36, pp. 1333-1342 (1993)	
M	C6	Kawashima et al., Chem. Pharm. Bull., 43, pp. 1132-1136 (1995)	
MA	C7	Ishikawa et al., Heterocycles, 31, ppl 1641-1646 (1990)	
			
	<u> </u>		
Examiner	<u> </u>	Mand Ben DATE CONSIDERED 1/18/00	s S
Signature		(MA) DEAN CONSIDERED 12/00	,

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609: Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

*Unique citation designation number. *Applicant is to place a check mark here if English language Translation or abstract is attached.

138914